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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 2366-001-03

U.S. APALICATION NO. (I/KBWTU-95 R 1.5)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US00/14592 May 26, 2000 May 28, 1999 TITLE OF INVENTION NETWORK AUTHENTICATION WITH SMART CHIP AND MAGNETIC STRIPE APPLICANT(S) FOR DO/EO/US Robert Lee and Thomas E. Honey Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. \boxtimes is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. $\hfill \square$ have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 📋 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. \boxtimes 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. 🔲 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to 'the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known_see 37 CFR-1 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US00/14592 2366-001-03 20. Other items or information: Petition Under 37 CFR 1.137(b) for Revival of an Application for Patent Abandoned Unintentionally; Petition Under 37 CFR 1.47(a) to Make Patent Application for a Joint Inventor who Refuses to Join; Written Opinion PCT/US00/14592; Certificate of Express Mail EQ168798904US **CALCULATIONS** PTO USE ONLY The following fees are submitted: Basic national fee (37 CFR 1.492(a))......\$300 \$ 300 22.

Examination Fee (37 CFR 1.492(c))) If the written opinion prepared by ISA/US to the international preliminary examination report prepared \$ 200 All other situations\$200 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an \$ 400 International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided in the Office or previously communicated to the US by the IB......\$400 All other situations . \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra sheets thereof (round up to a whole number) - 100 0/50 =\$250 \$0 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date \$130 of commencement of the national stage (37 CFR 1.492(h)). RATE NUMBER FILED NUMBER EXTRA **CLAIMS** 0 X \$50 \$0 - 20 = Total claims 19 2 \$200 \$ 400 Independent claims 5 - 3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 TOTAL OF ABOVE CALCULATIONS = \$ 1430 \$ \boxtimes Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$ 715 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$ 715 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$715 Amount to be \$ refunded: Amount to be \$ charged:

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PTO-1390 (Rev. 07-2005)

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a. 🗵 A check in the amount of \$ <u>715</u> to cover the above fees is enclosed.
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No. <u>07-1897</u> . A duplicate copy of this sheet is enclosed.
d. Fees are to be charge to a credit card. WARNING : Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.
SEND ALL CORRESPONDENCE TO:
John M. Janeway
Graybeal Jackson Haley LLp John M. Janeway
155 - 108 th Ave NE Suite 350 NAME
Bellevue, WA 98004 45,796 REGISTRATION NUMBER
REGISTRATION NOWBER
,

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UTILITY PATENT APPLICATION - CERTIFICATE OF MAILING

Inventors:

Robert Lee and Thomas E. Honey

Title of Invention:

NETWORK AUTHENTICATION WITH SMART CHIP AND

MAGNETIC STRIPE

Attorney Dkt. No.: 2366-001-03

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number: EQ168798904US

Date of Deposit: February 1011, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR. Section 1.10 on the date indicated above and is addressed to, Commissioner for Patents, Attn: Office of Petitions P O Box 1450, Alexandria, VA 22313-1450 by

Enclosures:

Petition Under 37 CFR 1.137(b) For Revival Of An Application For Patent Abandoned Unintentionally

Petition Pursuant to 37 CFR 1.47(a) to Make Patent Application for a Joint Inventor who Refuses to Join in the Application for Patent

Transmittal Letter to the US Designated/Elected Office (DO/EO/US) Concerning a Submission under 35 USC 371

Declaration and Power of Attorney

Written Opinion PCT/US00/14592

Check No. 25430 for \$750 for Petition Under 37 CFR 1.137(b) For

Revive of an application for patent abandoned unintentionally

Check No. 25431 for \$130 for Petition Under 37 CFR 1.47(a) to Patent

Application for a Joint Inventor who Refuses to Join

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